



THE REGIONAL MUNICIPALITY OF PEEL
AUDIT AND RISK COMMITTEE
MINUTES

Members Present:	S. Dasko N. Fairhead C. Fonseca N. Iannicca	K. Ras I. Sinclair R. Starr H. Zuberi
Members Absent:	R. Santos	
Staff Present	S. Baird, Commissioner of Digital and Information Services K. Lockyer, Regional Clerk and Interim Commissioner of Corporate Services S. VanOfwegen, Commissioner of Finance and Chief Financial Officer P. O'Connor, Regional Solicitor A. Farr, Interim Commissioner of Public Works J. Sheehy, Commissioner of Human Services C. Granger, Acting Commissioner of Health Services	J. Weinman, Acting Director, Enterprise Risk and Audit Stephanie Nagel, Director, Corporate Finance and Treasurer Julie Pittini, Director, Treasury and Deputy Treasurer A. Adams, Deputy Clerk and Acting Director, Clerk's Division C. Thomson, Deputy Clerk and Manager of Legislative Services H. Gill, Legislative Specialist S. MacGregor, Legislative Assistant

1. CALL TO ORDER

The Audit and Risk Committee met on September 17, 2020 at 11:06 a.m., in the Regional Council Chamber, 5th Floor, Regional Administrative Headquarters, 10 Peel Centre Drive, Suite A, Brampton, ON. Committee members and staff participated electronically.

S. Dasko arrived at 11:16 a.m.

K. Ras arrived at 11:16 a.m.

2. DECLARATIONS OF CONFLICTS OF INTEREST

Nil.

3. APPROVAL OF AGENDA

RECOMMENDATION ARC-5-2020:

That the agenda for the September 17, 2020 Audit and Risk Committee meeting be approved.

4. DELEGATIONS

Nil.

5. REPORTS

5.1 Traffic Signal Operations and Maintenance Contract Management Audit

(For information)

Presentation by Terry Ricketts, Director, Transportation and Jennifer Weinman, Interim Director, Enterprise Risk and Audit Services

Received

RECOMMENDATION ARC-6-2020:

That staff report to a future Regional Council meeting regarding the legal jurisdiction and structure of responsibility for traffic signals between the Province, Region and local municipalities;

And further, that the subject report include an update on actions taken between the Region and local municipalities specific to traffic signals.

Terry Ricketts, Director, Transportation and Jennifer Weinman, Interim Director, Enterprise Risk and Audit Services, presented the results of the Traffic Signal Operations and Maintenance Contract Management audit including a review of the audit objective, observations and management response.

Terry Ricketts provided an overview of work being undertaken to formalize agreement compliance oversight including the development and implementation of formal monitoring processes; document retention; and performance metrics to evaluate, track and monitor traffic signal operations and maintenance.

In response to a question from Councillor Fonseca regarding the Vision Zero framework, Jennifer Weinstein noted that a future traffic signal operations audit could consider the Vision Zero framework; and the audit report could come back to the committee subsequent to staff addressing the current audit recommendations. She noted that the Transportation team could report to a future Committee meeting regarding the Vision Zero framework with respect to traffic and pedestrian signals, and the design and maintenance of intersections.

Councillor Sinclair requested that staff provide an overview of the legislation that delegates authority to the Region for oversight of traffic signals in the Region of Peel.

In response to a question from Councillor Ras regarding the management and oversight of traffic signals, Jennifer Weinman noted that the audit did not address the legal structure of responsibility for the signals.

In response to a request from Councillor Ras to provide a report to Regional Council, Patrick O'Connor, Regional Solicitor, suggested that staff could provide a report regarding the jurisdiction of roads between the Province, Region and local municipalities. He noted that however the legal responsibilities are structured, it would come back to the Region if liability is asserted. Councillor Ras requested that the report include an update on actions taken between the Region and local municipalities specific to traffic signals.

5.2 Information Technology Security Assessment

(For information)

Presentation by Arthur Michalec, IT Security Advisor, IT Operations

Received

Arthur Michalec, IT Security Advisor, IT Operations, provided an overview of the IT security assessment including a description of cyber security, 2019 Regional cyber statistics and risks related to remote work. He provided a review of industry standards and outlined how the Region's layered security controls reduce the chance of successful cyberattacks. Arthur Michalec reviewed the third-party security assessment completed in April 2019 and presented the findings and resulting work plan.

5.3 Status of Audit Projects

(For information)

Received

5.4 Status of Management Action Plans

(For information)

Received

5.5 Energy Commodity Procurement Policy Update

RECOMMENDATION ARC-7-2020:

That the Energy Commodity Procurement Policy attached as Appendix I to the report from the Commissioner of Finance and Chief Financial Officer, titled "Energy Commodity Procurement Policy Update", be approved.

5.6 Debt Management Policy

RECOMMENDATION ARC-8-2020:

That the proposed amended Debt Management Policy (F20-06), attached as Appendix I to the report of the Commissioner of Finance and Chief Financial Officer, titled "2020 Debt Management Policy", be approved;

And further, that the Debenture Issuance Policy (F20-03), attached as Appendix II, be repealed.

6. COMMUNICATIONS

Nil.

7. OTHER BUSINESS

In response to a request from Member Fairhead, Sean Baird, Commissioner of D&IS noted that staff would report back to a future Committee meeting on the state of good repair portfolio.

In response to a request from Member Fairhead, Jennifer Weinman, Interim Director, Enterprise Risk and Audit Services, noted that staff would present information at a future Committee meeting on the various risk functions across the organization and the corporate risk management function; and, information regarding the manner in which each function is guided through the various bodies or legislation that dictate their roles.

8. IN CAMERA

RECOMMENDATION ARC-9-2020:

That the Audit and Risk Committee move in camera to consider matters related to item 8.1, Information Technology Security Governance and Management Audit. (The security of the property of the municipality or local board)

RECOMMENDATION ARC-10-2020:

That the Audit and Risk Committee move out of "In Camera".

Closed session commenced at 12:40 p.m.

Committee moved out of closed session at 12:52 p.m.

8.1 Information Technology Security Governance and Management Audit

(For information)

(The security of the property of the municipality or local board)

Received

9. NEXT MEETING

The next regular meeting of the Audit and Risk Committee is scheduled for Thursday, November 19, 2020 at 11:00 a.m., Regional Administrative Headquarters, Council Chamber, 5th floor, 10 Peel Centre Drive, Suite A, Brampton, ON.

Please forward regrets to Harjit Gill, Committee Clerk, at harjit.gill@peelregion.ca.

10. ADJOURNMENT

The meeting adjourned at 12:57 p.m.