



THE REGIONAL MUNICIPALITY OF PEEL
AUDIT AND RISK COMMITTEE
MINUTES

Members Present:	C. Fonseca K. Ras N. Iannicca	I. Sinclair R. Starr H. Zuberi
Members Absent:	N. Fairhead	R. Santos
Staff Present	J. Baker, Chief Administrative Officer S. Baird, Commissioner of Service Excellence and Innovation K. Dedman, Commissioner of Public Works G. Kent, Chief Financial Officer and Commissioner of Corporate Services N. Polsinelli, Commissioner of Health Services P. Caza, Regional Solicitor K. Lockyer, Regional Clerk and Director of Administration	J. Weinman, Director, Enterprise Risk and Audit Services S. Nagel, Director, Corporate Finance and Treasurer A. Adams, Deputy Clerk and Manager of Access to Information and Privacy C. Thomson, Deputy Clerk and Manager of Legislative Services S. Valteau, Legislative Specialist R. Khan, Legislative Technical Coordinator S. MacGregor, Legislative Technical Coordinator

1. CALL TO ORDER

Councillor Starr, Committee Chair, called the Audit and Risk Committee meeting to order on November 18, 2021 at 11:06 a.m., in the Council Chambers, Regional Administrative Headquarters, 10 Peel Centre Drive. Members of the Committee and staff participated electronically.

N. Fairhead was absent due to a personal matter.

R. Santos was absent due to other municipal business.

2. DECLARATIONS OF CONFLICTS OF INTEREST

Nil.

3. APPROVAL OF AGENDA

RECOMMENDATION ARC-14-2021

That the agenda for the November 18, 2021 Audit and Risk Committee meeting, be approved.

4. DELEGATIONS

4.1 Trevor Ferguson, Audit Partner, Deloitte LLP

Presenting the 2021 Deloitte Audit Service Plan
(Related to 5.1)

Received

Trevor Ferguson, Audit Partner, Deloitte LLP, provided a high-level overview of the 2021 Deloitte Audit Service Plan which outlines the audit scope, approach, planned communications and financial statements for the Regional Municipality of Peel and the Peel Housing Corporation. He stated that audit risks remain unchanged from last year and indicated that the audit approach is summarized in Appendix I of the report of the Chief Financial Officer and Commissioner of Corporate Services and the Treasurer of Peel Housing Corporation listed on the November 18, 2021 Audit and Risk Committee agenda, titled "Deloitte 2021 Audit Service Plan". Trevor Ferguson indicated that there are no accounting impacts for the 2021 statements, noting that new standards will impact the December 2023 year-end with the inclusion of an asset retirement obligations standard.

5. REPORTS

5.1 Deloitte 2021 Audit Service Plan

(For information)
(Related to 4.1)

Received

Items 5.3 to 5.5 were dealt with.

5.3 Debt Management Policy and Update

RECOMMENDATION ARC-15-2021

That the proposed amended Debt Management Policy (F20-06), attached as Appendix I to the report of the Chief Financial Officer and Commissioner of Corporate Services, as listed on the November 18, 2021 Audit and Risk Committee agenda, titled "2021 Debt Management Policy and Update", be approved.

5.4 Status of 2021 Audit Projects

(For information)

Received

5.5 External Auditor Appointment Jurisdictional Scan

(For information)

Received

5.2 Information Technology Security Update

(For information)

Presentation by Arthur Michalec, IT Security Advisor, IT Service Delivery

Received

Arthur Michalec, IT Security Advisor, IT Service Delivery, provided an update on the current cyber security posture of the Region of Peel in relation to the last update presented at the September 2020 Audit and Risk Committee meeting. He identified IT cyber security recommendations that were successfully completed and additional accomplishments achieved which include: approximately 90 older server operation systems were removed or replaced to reduce application operating risks; implementation of new security technologies and audit capabilities; staff cyber security training; and, operations security scorecards to determine how well the Region's best practices and operations are being applied. He provided an overview of the updated IT workplan to 2022.

In response to a concern raised by Councillor Sinclair regarding enhanced security of mobile devices, Arthur Michalec responded that android type platforms have programs that can be uncontrolled making them more vulnerable; platforms such as Apple have less vulnerability however there are degrees of cyber threats that can affect those applications as well. He noted that staff are addressing the security concerns raised.

On the advice of the Commissioner of Service Excellence and Innovation, discussion of this item continued "in camera".

RECOMMENDATION ARC-16-2021:

That the Audit and Risk Committee proceed "in camera" to receive information regarding the security of the property of the municipality or local board.

RECOMMENDATION ARC-17-2021:

That the Audit and Risk Committee move out of "In Camera".

Committee moved in camera at 11:40 a.m.

Committee moved out of in camera at 11:48 a.m.

Information Regarding the Security of the Municipality or Local Board (Oral)

Received

6. COMMUNICATIONS

Nil.

7. OTHER BUSINESS

Nil.

8. IN CAMERA

This item was dealt with earlier in the meeting.

9. NEXT MEETING

Thursday, March 3, 2022
1:30 p.m. – 3:00 p.m.
Council Chambers, 5th Floor
Regional Administrative Headquarters
10 Peel Centre Drive, Suite A
Brampton, Ontario

Please forward regrets to Helena West, Committee Clerk, at helena.west@peelregion.ca

10. ADJOURNMENT

The meeting adjourned at 11:50 a.m.