

Appendix IV
Quality Assessment Results

Appendix IV - Attachment B Independent Validation Statement



QUALITY
Ensuring Excellence

ATTACHMENT B
INDEPENDENT VALIDATION STATEMENT

The Validator was engaged to conduct an independent validation of the Region of Peel’s internal audit (IA) activity’s self-assessment. The primary objective of the validation was to verify the assertions made in the attached quality self-assessment report concerning adequate fulfillment of the organization’s basic expectations of the IA activity and its conformity to The Institute of Internal Auditors’ (The IIA’s) *International Standards for the Professional Practice of Internal Auditing (Standards)*. Other matters that might have been covered in a full independent assessment, such as an in-depth analysis of successful practices, governance, consulting services, and use of advanced technology, were excluded from the scope of this independent validation by agreement with the chief audit executive (CAE).

In acting as Validator, I am fully independent of the organization and have the necessary knowledge and skills to undertake this engagement. The validation, conducted from Brampton, Ontario, consisted primarily of a review and testing of the procedures and results of the self-assessment. In addition, interviews were conducted with the Regional Chair and Chief Executive Officer, the Chair, Audit and Risk Committee, the Interim Chief Administrative Officer, the Commissioner, Corporate Services, and the Commissioner, Human Services.

We concur with the IA activity’s conclusions in the self-assessment report attached. Implementation of all the recommendations contained in the self-assessment report will improve the effectiveness and enhance the value of the IA activity and ensure its full conformity to the *Standards*.

A handwritten signature in blue ink, appearing to read 'Elaine Maheu', positioned above a horizontal line.

Elaine Maheu, CIA, CPA, CA
Independent Validator
IIA Quality Services, LLC

A handwritten signature in blue ink, appearing to read 'Tracy Darakjian', positioned above a horizontal line.

Tracy Darakjian, CIA
Director, Quality
IIA Quality Services, LLC