

Enterprise Resource Planning Implementation Project

Anila Lalani,
Manager, Internal Audit
Region of Peel

Agenda

- Implementation Project Introduction
- Advisory Involvement
- Audit Project Involvement
- Phase I Audit Report

Implementation Project

- New system to replace existing systems covering financial, procurement, and human capital management transactions
- Enterprise Resource Planning system will impact business processes across the organization
- Project inherently higher risk due to:
 - Significance of change
 - Impact span across organization
 - Changes to many internal controls and business processes
- Internal Audit identified project for advisory and audit project involvement
- Internal Audit to work with external auditor to coordinate involvement

Advisory Involvement

- Implementation Project Operating Committee
 - Member of Committee since April 2020
 - Provide risk-based feedback
- Implementation Project Risk Assessment
 - Facilitated series of risk assessment workshops with Operating Committee

Audit Project Involvement

- Series of phased audit projects
 - Projects to happen over life of first phase of system implementation
- Phase I audit completed
 - Audit focused on reasonableness of project plan timelines
- Phase II audit in the planning phase
 - Audit will focus on design of internal controls in business processes
- Phase III audit not started
 - Audit objective to be determined
- Phase II and III audits will be reported to Audit and Risk Committee in 2023

Phase I Audit Report

- Audit focused on assessing if the project plan timeline for the first phase of the system implementation is reasonable
- Project plan timeline has been reasonably developed to help ensure the business processes are effectively designed to include key internal controls

Thank you

Contact info:

Anila Lalani
Manager, Internal Audit
Region of Peel